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APPLICATION NUMBER: ***60/557,889***
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PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

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Additional inventors are being named on the 1 *separately numbered sheets attached hereto*

TITLE OF THE INVENTION (500 characters max)

Heat Spreader Constructions

Direct all correspondence to: **CORRESPONDENCE ADDRESS**

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ENCLOSED APPLICATION PARTS ***(check all that apply)***

☑ Specification *Number of Pages* 11
☑ Drawing(s) *Number of Sheets* 5
☐ Application Data Sheet. See 37 CFR 1.76
☐ CD(s), Number
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Respectfully submitted,

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Date March 30, 2004

REGISTRATION NO. 48,711
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Docket Number: H0005430-PROV (4015)

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

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PROVISIONAL APPLICATION COVER SHEET
Additional Page

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Docket Number	H0005430-PROV (4015)

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Number 1 of 1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PROVISIONAL PATENT APPLICATION

*   *   *   *   *

Heat Spreader Constructions

*   *   *   *   *

INVENTORS

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ATTORNEY'S DOCKET NO. H0005430-PROV (4015)

EV372454035

HEAT SPREADER CONSTRUCTIONS

BACKGROUND OF THE INVENTION

[0001] Thermal management in electronic devices is important for proper device performance. Thermal management components such as heat sinks and heat spreaders are utilized to decrease potential negative impacts of heat generating components in a wide range of electronic devices by aiding in the transfer of heat to the ambient environment.

[0002] One area of particular importance for developing thermal management technology is integrated circuitry. With advances in device and integrated circuit (IC) technology, faster and more powerful devices are being developed. Faster switching and an increase in transistors per unit area in turn lead to increased heat generation. Packaging for these devices can typically incorporate a heat spreader which assists in heat transfer from the device to a heat sink. Heat dissipation from the devices can have a large role in device stability and reliability.

[0003] Thermal management and removal of heat can be particularly important and challenging in the area of flip-chip technology which is utilized for connecting high performance integrated circuit devices to substrates. Heat spreaders can typically be utilized in flip-chip technology to provide a lower thermal resistance pathway between the chip and ultimate heat sink. Various materials such as copper and aluminum alloys have been utilized for flip-chip heat spreader applications. In particular instances, materials such as carbon-carbon composites or diamond can be advantageously utilized for heat spreader applications due to their exceptional thermal conductivity. Diamond and carbon-carbon composite heat spreaders can have greatly enhanced thermal transfer

rates relative to alternative materials having lower thermal conductivity. Diamond heat spreaders can also allow a better thermal expansion match between the chip and packaging components. However, due to the expense of diamond materials and the relative difficulty in fabricating conventional heat spreader configurations utilizing diamond or composite carbon-carbon materials, heat spreaders for flip-chip and other microelectronic applications fabricated from these materials can be cost prohibitive.

[0004] Thermal management for flip-chip and other microelectronic devices can affect device lifetime and performance. Improved methods and configurations for heat transfer away from such microelectronic devices can play an important role in allowing development of faster and more powerful devices. Accordingly, new configurations for diamond, carbon composite and alternative thermal control material heat spreaders are desired for flip-chip technology and other integrated circuitry as well as other electronic device applications.

BRIEF DESCRIPTION OF THE DRAWINGS

[0005] Preferred embodiments of the invention are described below with reference to the following accompanying drawings.

[0006] Fig. 1 is an isometric view of an exemplary prior art heat spreader configuration.

[0007] Fig. 2 is an isometric view of a heat spreader in accordance with an aspect of the present invention.

[0008] Fig. 3 is an exploded isometric view of the heat spreader shown in Fig. 2.

[0009] Fig. 4 is an alternate isometric view of the heat spreader shown in Fig. 2.

[0010] Fig. 5 is a cross-sectional side view taken along line 5-5 of Fig. 4.

[0011] Fig. 6 is a side view of a heat spreader plate in accordance with an alternative aspect of the invention.

[0012] Fig. 7 is a side view of an assembled heat spreader containing the heat spreader plate shown in Fig. 6.

[0013] Fig. 8 is a cross-sectional fragmentary view of integrated circuitry in accordance with an aspect of the invention.

DESCRIPTION OF EXEMPLARY ASPECTS

[0014] One aspect of the invention is to develop methodology and heat spreader configurations to allow cost effective manufacture of heat spreaders capable of maintaining the integrity and performance of electronic and microelectronic devices. In particular, heat spreader configurations of the invention allow materials with high heat conductivity to be localized in appropriate heat receiving/dissipating areas while replacing less critical regions of the spreader with less expensive and/or more easily fabricated materials.

[0015] A drawback of conventional heat spreader technology is described with reference to Fig. 1. Fig. 1 shows an exemplary prior art "lid" type heat spreader 10 which is comprised of a single piece of material. The single piece heat spreader shown in Fig. 1 can typically be fabricated by, for example, stamping, coining and/or machining from a single sheet of material.

[0016] Heat spreader 10 can have an opening, cavity or recess 12 having a base surface 14 and can have an opposing back surface 16. For use in flip-

chip applications, a heat spreader such as heat spreader 10 shown in Fig. 1 can be disposed over and/or in heat receiving relation relative to a flip-chip (not shown). Base surface 14 can function as a heat receiving base relative to a surface of the flip-chip and thereby allow heat dissipation from the flip-chip through spreader 10.

[0017] In application, where conventional heat spreader 10 is disposed over a microelectronic device, an upper surface 18 can interface an integrated circuitry board, or package substrate (not shown). In particular applications, opposing face 16 can be disposed interfacing an appropriate heat sink (not shown).

[0018] The exemplary conventional heat spreader 10 shown in Fig. 1 can be formed from any of a variety of known materials, including but limited to, copper, copper alloys, diamond, aluminum, aluminum alloys, carbon-carbon composite materials, copper composites, aluminum silicon carbide, copper-tungsten, copper-molybdenum-copper, silicon carbide, or diamond composite materials. Due to the single piece configuration of heat spreader 10, fabrication of the heat spreader and formation of form cavity 12 can be time consuming, difficult and/or expensive based upon the particular material utilized. Where recess 12 is formed by machining out an opening within a material, such can result in waste of the material from such machined out portion.

[0019] Where materials having limited ductility are utilized for heat spreader 10, formation of recess 12 using stamping, coining or other plastic deformation methods may not be feasible. Where the material utilized is expensive, such as for example, diamond, the cost of forming opening 12 and

the additional waste of material which is removed to form such opening can be cost prohibitive.

[0020] A heat spreader configuration in accordance with the present invention is discussed with reference to Figs. 2-5. Referring initially to Fig. 2, such shows a heat spreader 10 having a first base portion 20 and a second independently formed raised 'frame' portion 30. Heat spreader 10 can have a heat spreading surface 22 which can ultimately be disposed interfacing a "hot device" surface where the term "hot device" refers to a heat generating device from which heat is to be drawn away.

[0021] Referring to Fig. 3, such shows an exploded view depicting the two separate pieces 20 and 30 which can together form spreader 10. As shown, base piece 20 can have an interior region surface 22 which can be referred to as the heat spreading surface and which will interface a hot device. Base piece 20 also has a perimeter portion 24 which interfaces independent frame piece 30. When the two pieces 20 and 30 are joined as shown in Fig. 2, piece 30 can frame spreading surface 22 within opening 32 which transverses frame piece 30.

[0022] Base piece 20 can comprise any heat spreading material and can preferably comprise a material with a relatively low coefficient of thermal expansion and high thermal conductivity. Exemplary materials which can be utilized for base piece 20 include but are not limited to copper, copper alloys (e.g., Cu-Ni), aluminum, aluminum alloys, composite carbon-carbon materials and diamond.

[0023] Because base portion 20 is the primary dissipating region of the heat spreader, second portion 30 can in particular applications comprise a less expensive material, a more easily fabricated material and/or a material with a

lower thermal conductivity relative to base portion 20. Accordingly, the cost of materials for the two piece heat spreader in accordance with the invention can be significantly less than conventional single piece heat spreader configurations.

[0024] Frame portion 30 can be formed by, for example, stamping, coining and/or machining. Exemplary materials which can be utilized for frame portion 30 can be, for example, copper, copper alloys, carbon composite, aluminum, aluminum alloys, diamond, ceramic, molybdenum, tungsten, KOVAR® (Westinghouse Electric and Manufacturing Company, Pittsburgh PA), or alloy 42.

[0025] Although parts 20 and 30 are shown having approximately equal thickness, it is to be understood that the invention encompasses any relative thicknesses. The thickness of part 30 can depend upon the thickness of an interfacing hot device. Frame part 30 can preferably have a thickness which allows clearance of surface 22 when spreader 10 is disposed over and in heat receiving relation relative to a device, with frame surface 18 interfacing a circuit board (discussed below). The thickness of base portion 20 can depend on a number of factors including, the amount of heat generated by the hot device, the heat spreading material(s) utilized and the coefficients of thermal expansion of such material(s).

[0026] Referring to Fig. 4, such shows an alternative view of heat spreader 10 rotated 180° relative to the view shown in Fig. 2. As shown in Fig. 4, a backside 26 of base part 20 can oppose heat spreading surface 22 (Fig. 2). As additionally shown in Fig. 4, base part 20 can be joined to frame portion 30 by an interface material 40 disposed between the interfacing surfaces of the two pieces. Material 40 can be, for example, an adhesive or solder. Alternatively,

pieces 20 and 30 can be joined in an absence of interfacing material by, for example, diffusion bonding or other direct bonding techniques.

[0027] Referring to Fig. 5, such depicts a cross-section of the two part heat spreader taken along line 5-5 of Fig. 4. As shown in Fig. 5, interface material 40 can be disposed between the perimeter region 24 of base portion 20 and interfacing surface of frame portion 30.

[0028] Referring to Fig. 6, in particular applications base piece 20 can comprise a heat spreader material 27 such as those discussed above and a coating material 28. Coating material 28 can cover an entirety of surface 25 which opposes back surface 26. Alternatively, material 28 can cover only portions of surface 25 such as, for example, perimeter surfaces 24 (shown in Fig. 3) which will interface frame portion 30.

[0029] Figure 7 shows assembled two-piece heat spreader 10 having coating material 28 disposed between interface material 40 and heat spreader material 27. Coating material 28 can comprise, for example, a metal or metallic material. In applications where heat spreader material 27 is difficult to solder (e.g., diamond) coating material 28 can be a metallized layer deposited over the diamond to allow base portion 20 to be soldered to frame portion 30. In one embodiment base portion 20 can comprise a diamond material 27 and a metallized coating 28 which can be, for example, gold. Interface material 40 can be a solder material which bonds to metallized layer 28 and a frame portion 30.

[0030] Referring again to Fig. 4, heat spreader 10 can be substantially square as depicted. It is to be understood, however, that the invention encompasses alternative heat spreader shapes such as, for example, circular, rectangular, etc., including irregular shapes. Base portion 20 and frame portion

30 can be fabricated accordingly. The shape of heat spreader 10 can of course depend upon the shape of an underlying hot device.

[0031] In addition to the single piece base portion depicted in the figures, the invention also contemplates utilizing a plurality of pieces to form base plate 20 (not shown). Where multiple parts form base plate 20, the parts can comprise the same material or different materials. For example, a material such as diamond can be localized to a portion of plate 20 which will interface a 'hot spot' or a particularly hot portion of a device while surrounding parts or parts of plate 20 more remote from the hot spot are formed from a less expensive material and/or a material with a lower coefficient of thermal expansion.

[0032] Frame part 30 can also comprise multiple pieces and/or multiple materials (not shown). Additionally, frame portion can be discontinuous, covering only a portion of perimeter region 24 of base plate 20. For example, frame portion 30 can be fragments or spaced blocks along perimeter region 24 sufficient to provide clearance and support for base plate 20 when disposed over a heat generating device.

[0033] It is to be additionally noted that although the heat spreader of the invention is discussed as having a single recessed compartment (recess 32 as shown in Fig. 2) it is to be understood that frame portion 30 can be fabricated to have a plurality of compartments such that a single heat spreader can cover a plurality of individually framed devices (not shown). Alternatively, a heat spreader in accordance with the invention can be configured to cover a plurality of devices within a single framed compartment.

[0034] Referring to Fig. 8, such shows integrated circuitry 100 comprising heat spreader 10 in accordance with the invention disposed over a single

microelectronic device 104. Device 104 can be, for example, a flip-chip mounted on integrated circuitry board 102 utilizing, for example, a solder material 106. An interface material 110 can be provided between heat spreader 10 and board 102 in order to mount the heat spreader to the circuitry board. Material 110 can be, for example, an interface adhesive or solder material.

[0035] A second interface material 108 can be provided between device 104 and heat spreader 20. Such material can be, for example, a thermal interface material such as thermal grease, phase change materials, thermal gels, indium, indium alloys, metallic thermal interface materials or other known interface materials. In particular applications, surface 26 of heat spreader 10 can interface an ultimate heat sink (not shown). An appropriate heat sink can be a heat sink material and configuration known to those skilled in the art or yet to be developed.

[0036] Heat spreader configurations of the invention can provide effective thermal management at lower cost and/or ease of fabrication relative to conventional heat spreaders.

ABSTRACT

The invention includes a two-piece heat spreader. The heat spreader can utilize a plate portion comprising a material such as diamond, carbon-carbon composite material or other material having a high thermal conductivity or low thermal expansion coefficient disposed over a heat generating device. A second part of the heat spreader can support the plate portion and can comprise the same or a different material than the plate portion.

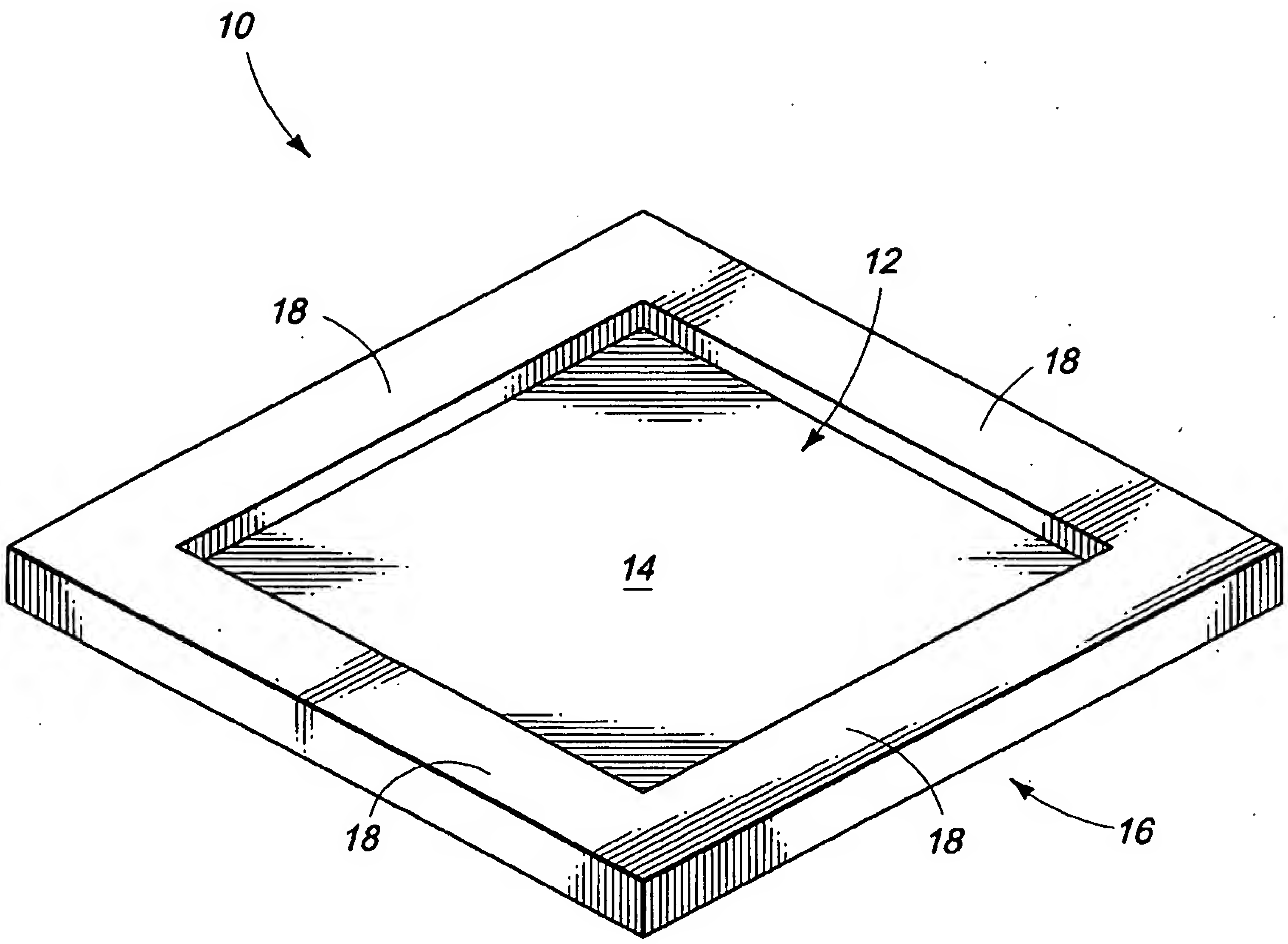

Fig 1
PRIOR ART

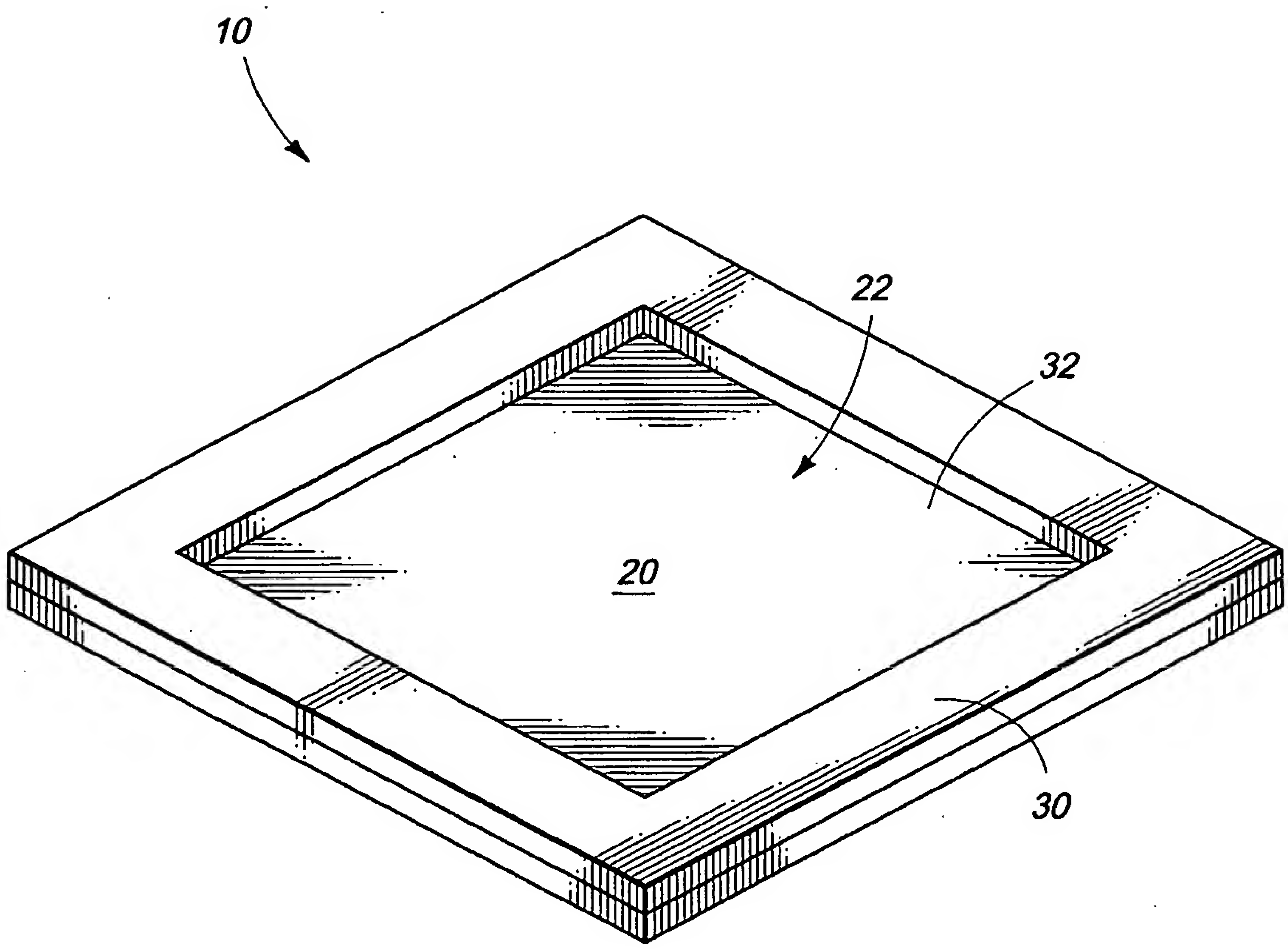

Fig 2

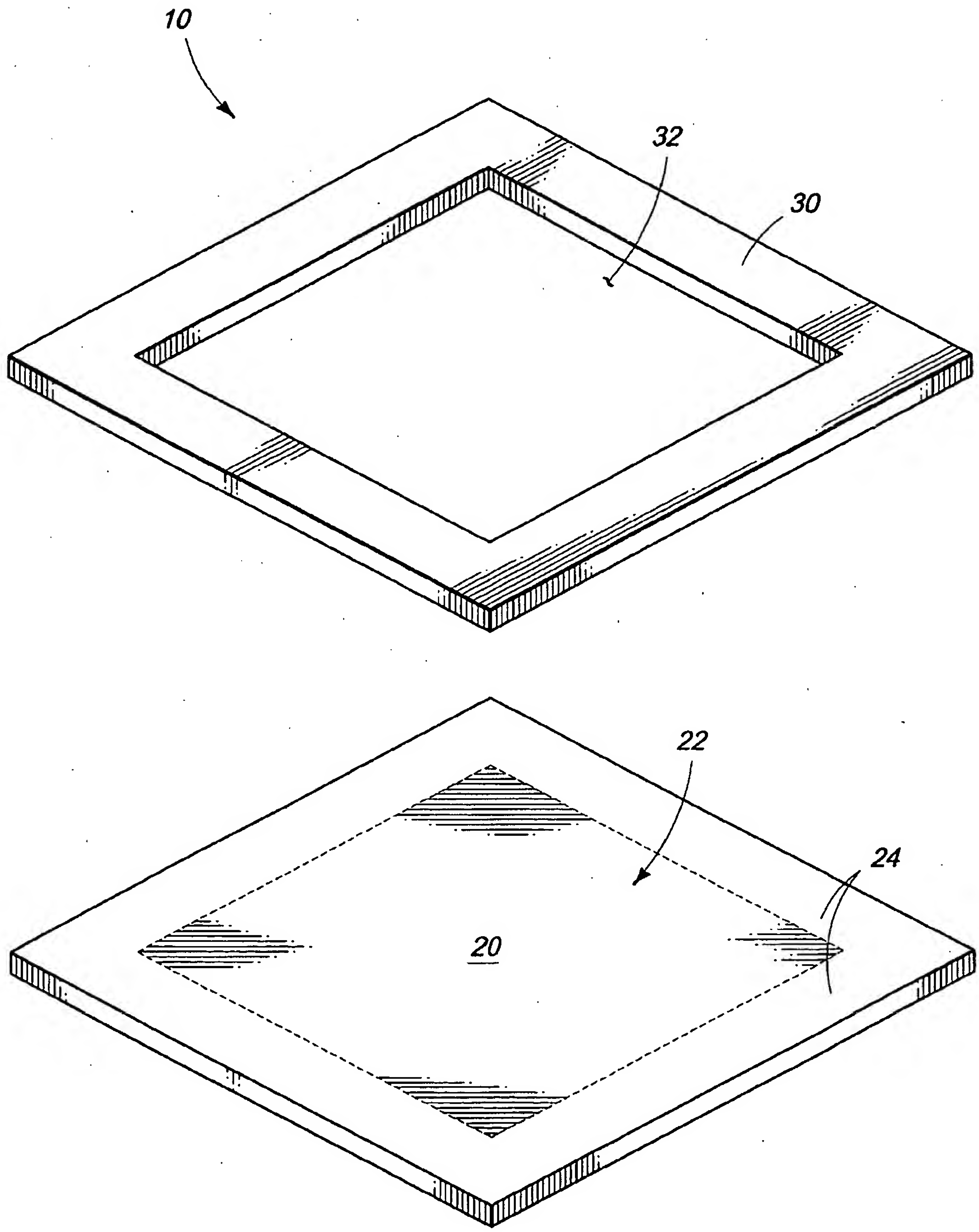

FIG 3

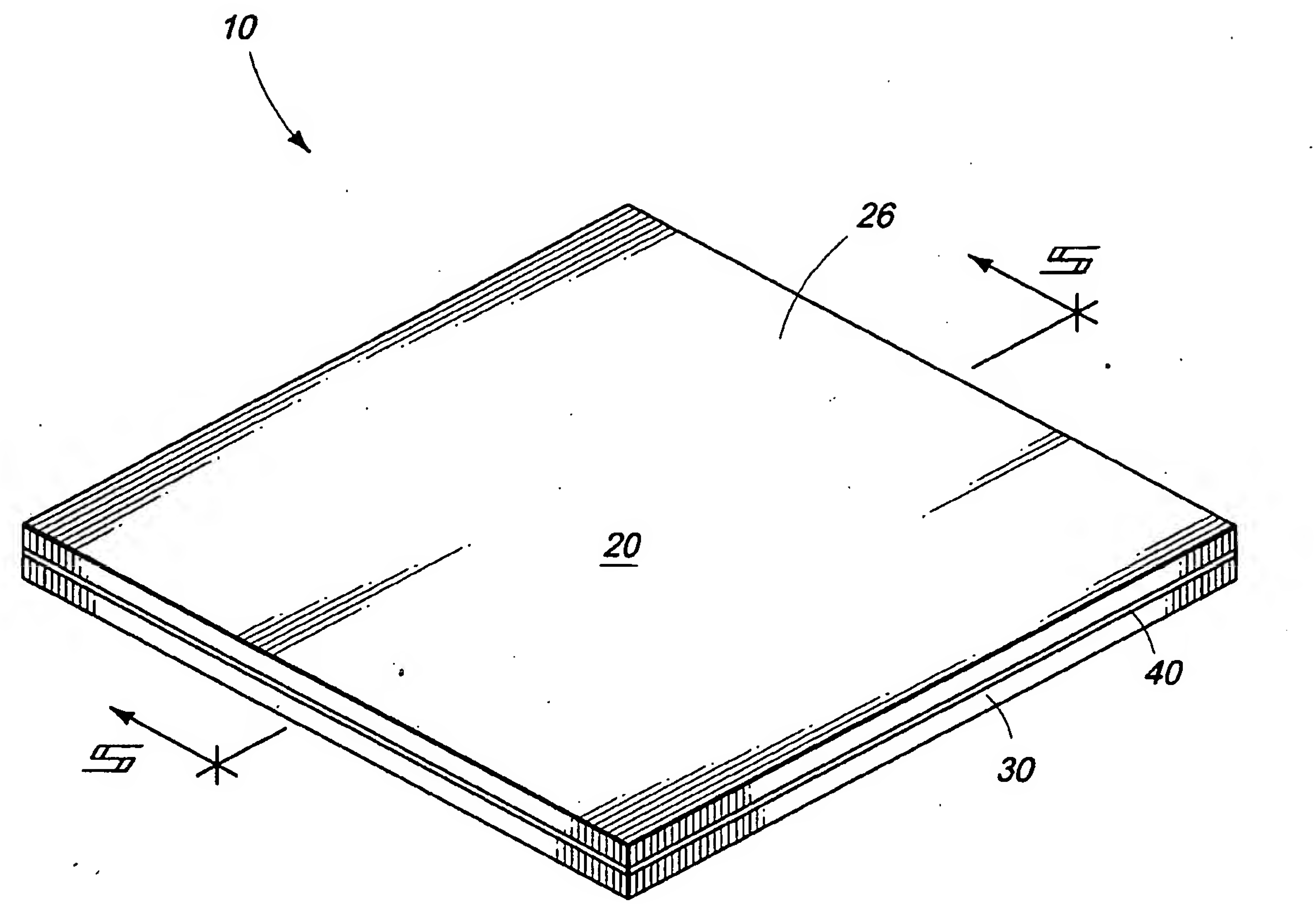

Fig 4

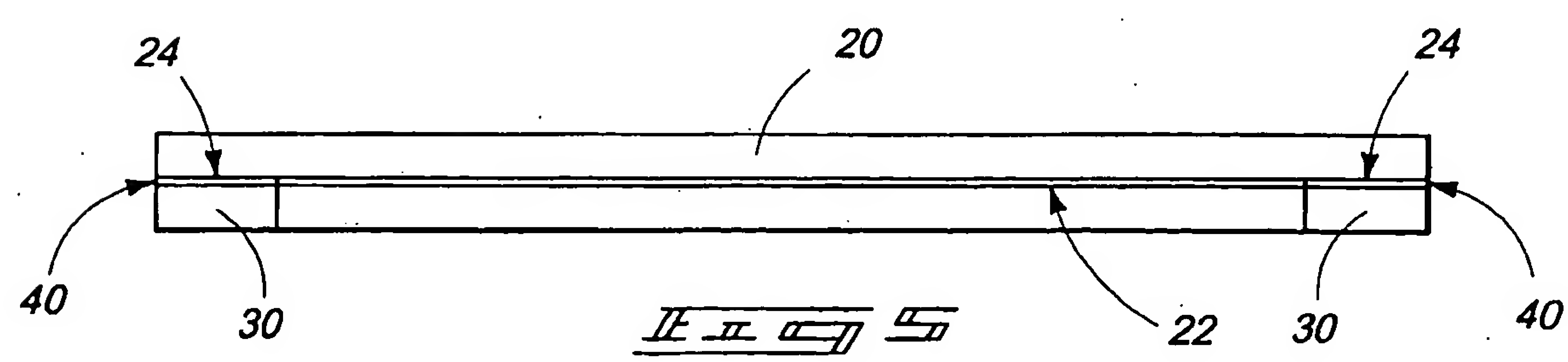

Fig 5

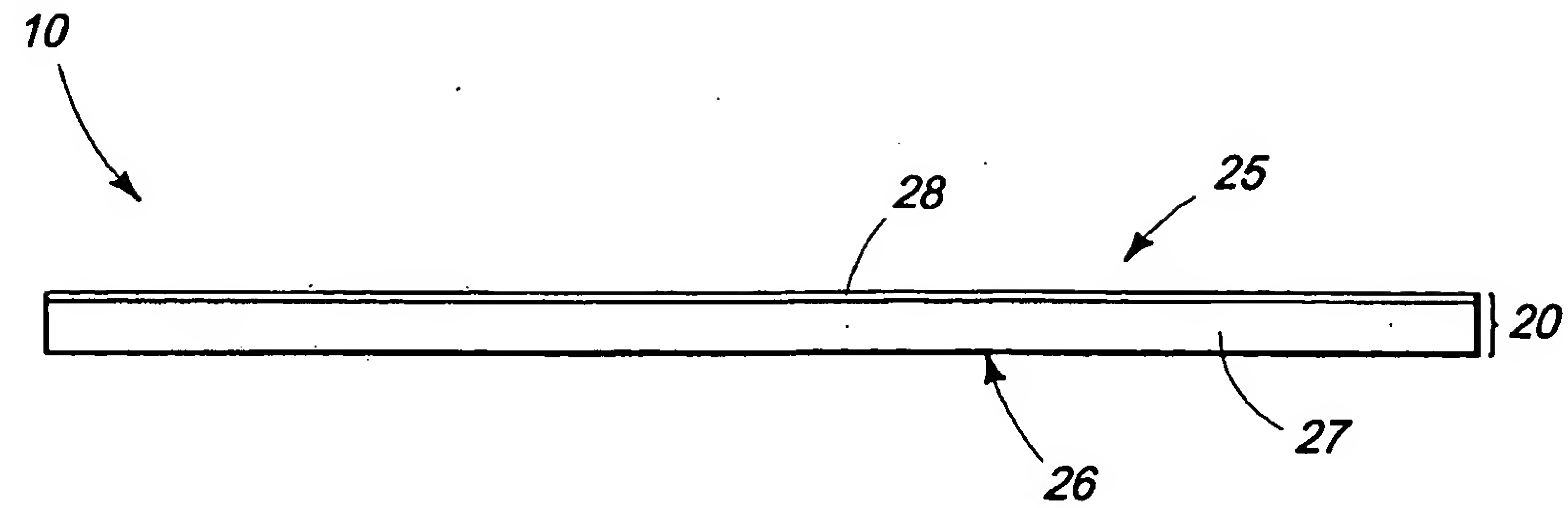

Fig 6

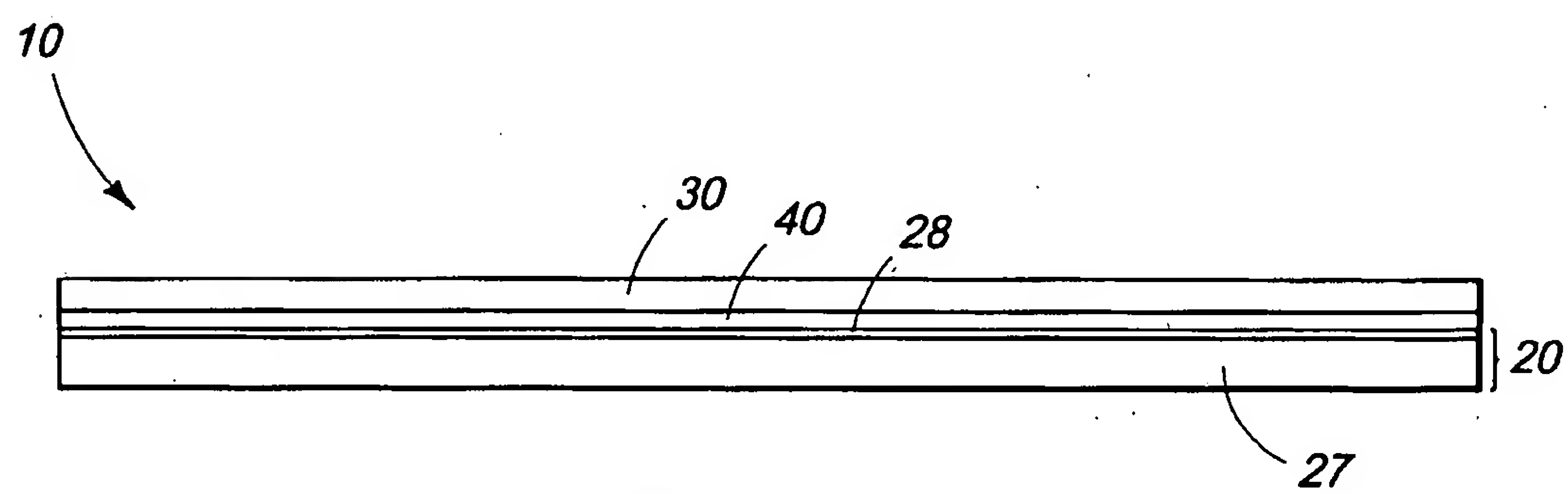

Fig 7

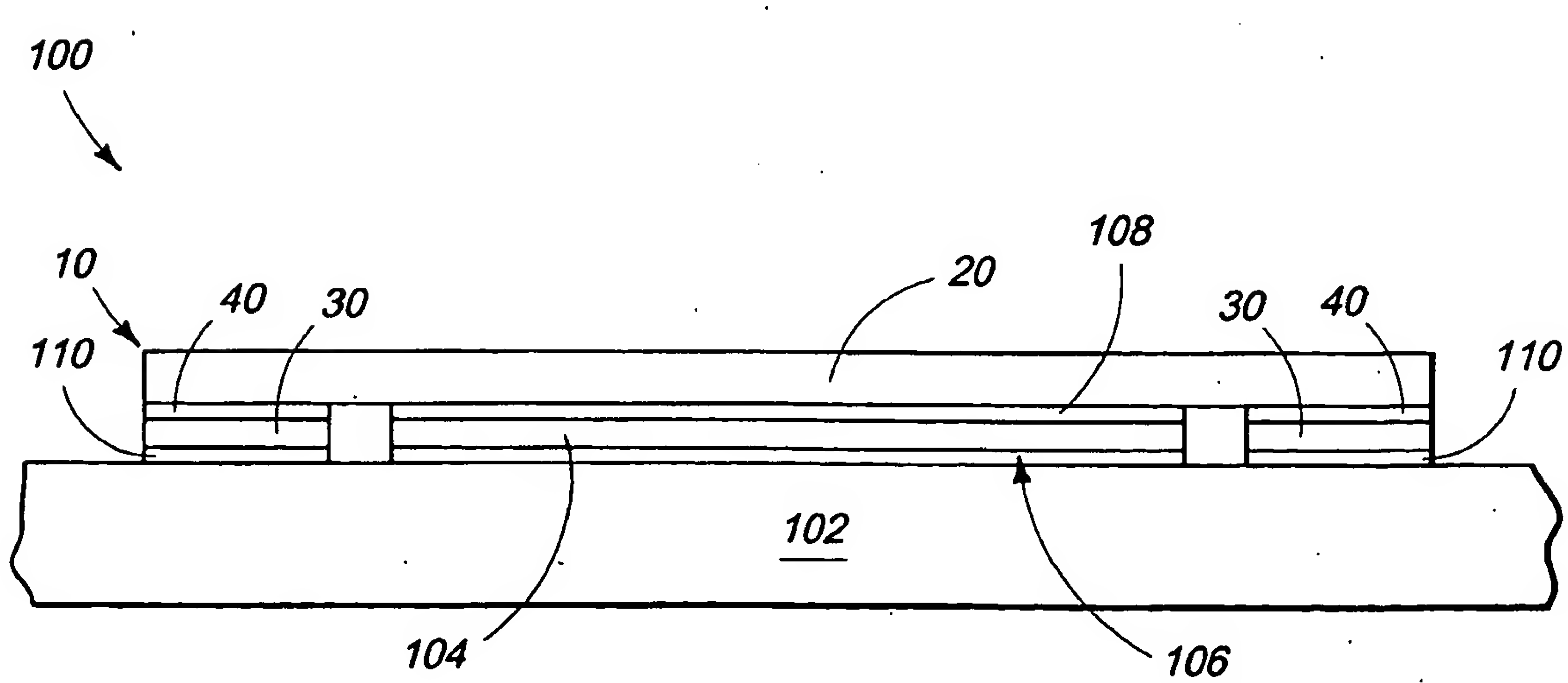

Fig 8

PATENT COOPERATION TREATY PCT/US2005/010550

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PCT

NOTIFICATION CONCERNING SUBMISSION OR TRANSMITTAL OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

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Date of mailing *(day/month/year)* 23 June 2005 (23.06.2005)	
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International publication date *(day/month/year)*	Priority date *(day/month/year)* 30 March 2004 (30.03.2004)
Applicant HONEYWELL INTERNATIONAL INC. et al	

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Priority date	Priority application No.	Country or regional Office or PCT receiving Office	Date of receipt of priority document
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